

*Text in green is to be part of UCSF building database and may be part of UCOP database*

4-8-2020

**UCSF Building Seismic Ratings**  
**1454 5<sup>TH</sup> AVENUE**

CAAN #2056  
1454 5<sup>th</sup> AVENUE, SAN FRANCISCO, CA 94122  
UCSF Campus: Parnassus



4/8/2020



Plan



West Elevation

Rating summary	Entry	Notes
UC Seismic Performance Level (rating)	V	Findings based on drawing review and ASCE 41-17 Tier 1 evaluation <sup>1</sup>
Rating basis	Tier 1	ASCE 41-17
Date of rating	2020	
Recommended UCSF priority category for retrofit	Priority B	Priority A = Retrofit ASAP Priority B=Retrofit at next permit application for modification
Ballpark total project cost to retrofit to IV rating	High	See recommendations on further evaluation and retrofit.
Is 2018-2019 rating required by UCOP?	Yes	
Further evaluation recommended?	No	

<sup>1</sup> The evaluations at UCSF translate the Tier 1 evaluation to a Seismic Performance Level rating using professional judgment discussed among the Seismic Review Committee. Non-compliant items in the Tier 1 evaluation do not automatically put a building into a particular rating category, but such items are evaluated along with the combination of building features and potential deficiencies, focused on the potential for collapse or serious damage to the gravity supporting structure that may threaten occupant safety.

### **Building information used in this evaluation**

- Architectural Floor CAD Plans, “1454 5<sup>th</sup> Avenue,” (3 CAD files)

### **Scope for completing this form**

Architectural drawings were reviewed and an ASCE 41-17 Tier 1 evaluation was performed. A site visit was made on December 12, 2019 where the building exterior, basement, and first floor were observed.

### **Brief description of structure**

The building functions as faculty housing. It was reportedly built in 1911 as a single-family home. There is an apartment on the first and second floors over a basement. There is no garage. The main floor plate is approximately 37 ft north-south by 25 ft east-west.

Identification of Levels: Levels are identified on plan as Basement, First Floor, Second Floor, and Roof. The site slopes downward toward the west. The basement (approximately 6’-4”) is used for storage, utilities, and laundry. The first floor (approximately 9’-6”) consists of a kitchen, living room, dining room, and foyer. The second floor (approximately 9’-6”) consists of three bedrooms and two bathrooms. One of the bedrooms has an exterior door to a second story deck. The roof is flat. The basement is at grade/street level and is used as the base of the building for this evaluation.

Foundation system: Existing foundation drawings are not available. It is presumed there are continuous footings below bearing walls. During the site visit on December 12, 2019 continuous concrete stem wall footings were observed around the ground floor level. Posts beared on concrete pedestals that likely extend to isolated footings below the slab.

Structural system for vertical (gravity) load: Drawings showing the existing framing are not available. It is presumed based on the age of the building that wood joists span to load bearing wood framed walls.

Structural system for lateral forces: Drawings showing the existing framing are not available. It is presumed based on the age of the building that a sheathed diaphragm distributes load to the interior and exterior wood framed walls sheathed with gypsum board and/or plaster. No evidence of seismic upgrading was observed.

Building Code: The building was reportedly constructed in 1911, prior to a building code being enacted. However, no documentation was available to confirm the construction date.

Building Condition: What could be observed of the structure of the building appeared to be in fair condition; however, most of the structure was concealed behind finishes. The concrete stem walls in the basement were poorly consolidated in some areas and some cracks were observed. A portion of the rear slab on grade looked to be buckled and badly cracked. The wood siding was in good condition.

Building response in 1989 Loma Prieta Earthquake: There is no record of building performance during this earthquake. The report titled “Performance of UCSF Buildings During the October 17, 1989 Loma Prieta Earthquake” by Impell Corporation did not list this build as one inspected.

### **Brief description of seismic deficiencies and expected seismic performance including structural behavior modes**

- The building relies on interior and exterior walls for shear resistance. There is not enough wall present to pass the Tier 1 quick check in the transverse or longitudinal direction in any story.
- Based on the age of construction, the walls between levels are not expected to be detailed to transfer shear and overturning forces between levels.
- The building is located on a sloped site. However, there is a significant length of wall on the downhill side of the building.
- The building is built to the property line with virtually no separation between the neighboring buildings to the north and south.
- The basement cripple walls were primarily sheathed with gypsum board. Based on the age of construction it is assumed the anchor bolts for the sill plate are not adequate.

Structural deficiency	Affects rating?	Structural deficiency	Affects rating?
Lateral system stress check (wall shear, column shear or flexure, or brace axial as applicable)	Y	Openings at shear walls (concrete or masonry)	N
Load path	Y	Liquefaction	N
Adjacent buildings	Y	Slope failure	N
Weak story	Y	Surface fault rupture	N
Soft story	N	Masonry or concrete wall anchorage at flexible diaphragm	N
Geometry (vertical irregularities)	N	URM wall height-to-thickness ratio	N
Torsion	N	URM parapets or cornices	N
Mass – vertical irregularity	N	URM chimney	N
Cripple walls	Y	Heavy partitions braced by ceilings	N
Wood sills (bolting)	Y	Appendages	N
Diaphragm continuity	N		

**Summary of review of non-structural life-safety concerns, including at exit routes. <sup>2</sup>**

It appeared the chimney had been replaced with a sheet metal flue. Two blocked off fireplaces were observed at the first floor.

The water heater in the basement is anchored to the wall.

UCOP non-structural checklist item	Life safety hazard?	UCOP non-structural checklist item	Life safety hazard?
Heavy ceilings, feature or ornamentation above large lecture halls, auditoriums, lobbies or other areas where large numbers of people congregate	None Observed	Unrestrained hazardous materials storage	None Observed
Heavy masonry or stone veneer above exit ways and public access areas	None Observed	Masonry chimneys	None Observed
Unbraced masonry parapets, cornices or other ornamentation above exit ways and public access areas	None Observed	Unrestrained natural gas-fueled equipment such as water heaters, boilers, emergency generators, etc.	None Observed

**Basis of Seismic Performance Level Rating**

The length of wall in the subject building is well below the amount required by the ASCE 41 Tier 1, and connections between walls between levels of the building and to the foundation are not adequate for resisting seismic loading. The building is listed as Priority B because there is a relatively low risk to occupant life-safety posed by conventional wood-framed construction.

**Recommendations for further evaluation or retrofit**

No further evaluation of this building is recommended. There is relatively low risk to occupant life-safety posed by this type of building based on historical performance of similar building types. It is recommended that work to improve the seismic performance of the building be included with any future renovation requiring a building permit.

**Peer review comments on rating**

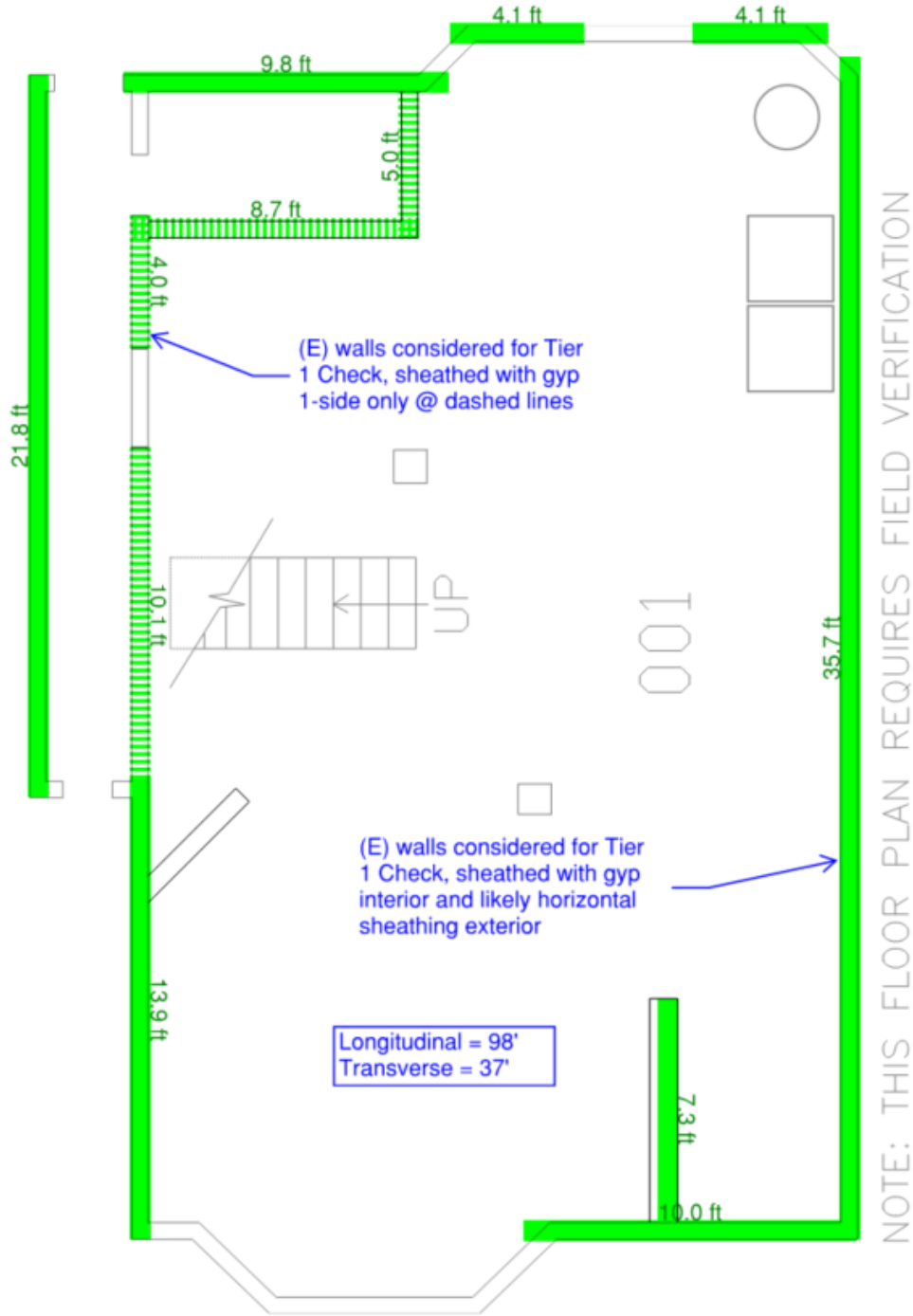
The structural members of the UCSF Seismic Review Committee (SRC) reviewed the evaluation on January 8, 2020 and are unanimous that the rating is V.

<sup>2</sup> For these Tier 1 evaluations, we do not visit all spaces of the building; we rely on campus staff to report to us their understanding of if and where non-structural hazards may occur.

<b>Additional building data</b>	<b>Entry</b>	<b>Notes</b>
Latitude	37.76135	
Longitude	-122.46168	
Are there other structures besides this one under the same CAAN#	No	
Number of stories above lowest perimeter grade	3	
Number of stories (basements) below lowest perimeter grade	0	
Building occupiable area (OGSF)	2,711	
Risk Category per 2016 CBC 1604.5	II	
Building structural height, $h_n$	26 ft	Structural height defined per ASCE 7-16 Section 11.2
Coefficient for period, $C_t$	0.02	Per ASCE 41-17 equation 4-4
Coefficient for period, $\beta$	0.75	Per ASCE 41-17 equation 4-4
Estimated fundamental period	0.230 sec	Per ASCE 41-17 equation 4-4
<b>Site data</b>		
975 yr hazard parameters $S_s, S_1$	1.564, 0.618	UCSF Group 3 Buildings – Tier 1 Geotechnical Assessment, Egan (2019)
Site class	C	
Site class basis	Geotech Parameters	UCSF Group 3 Buildings – Tier 1 Geotechnical Assessment, Egan (2019)
Site parameters $F_a, F_v$	1.200, 1.400	UCSF Group 3 Buildings – Tier 1 Geotechnical Assessment, Egan (2019)
Ground motion parameters $S_{cs}, S_{c1}$	1.877, 0.865	UCSF Group 3 Buildings – Tier 1 Geotechnical Assessment, Egan (2019)
$S_o$ at building period	1.877	
Site $V_{s30}$	415 m/s	
$V_{s30}$ basis	Geotech Parameters	UCSF Group 3 Buildings – Tier 1 Geotechnical Assessment, Egan (2019)
Liquefaction potential/basis	No	UCSF Group 3 Buildings – Tier 1 Geotechnical Assessment, Egan (2019)
Landslide potential/basis	No	UCSF Group 3 Buildings – Tier 1 Geotechnical Assessment, Egan (2019)
Active fault-rupture hazard identified at site?	No	
Site-specific ground motion study?	No	
<b>Applicable code</b>		
Applicable code or approx. date of original construction	Built: 1911	Reported date, not confirmed
Applicable code for partial retrofit	None	No partial retrofit known
Applicable code for full retrofit	None	No full retrofit known

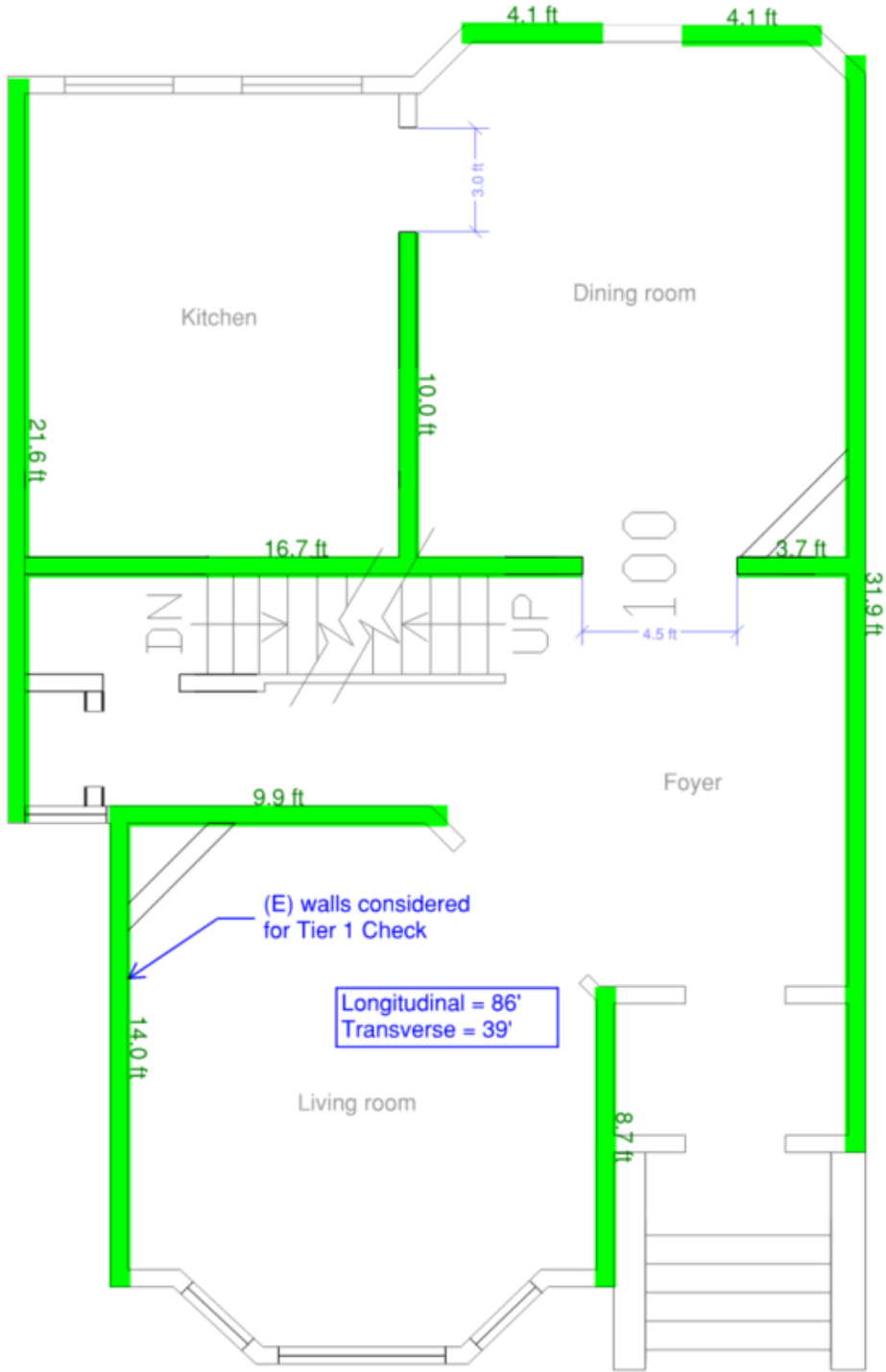
<b>Model building data</b>		
Model building type North-South	W1 : Wood Light Frames	
Model building type East-West	W1: Wood Light Frames	
FEMA P-154 score	N/A	Not included here because an ASCE 41 Tier 1 evaluation was performed.
<b>Previous ratings</b>		
Most recent rating	V	2013 Report
Date of most recent rating	10/7/2013	Basis: Qualitative assessment based on drawing reviewed
2 <sup>nd</sup> most recent rating	-	
Date of 2 <sup>nd</sup> most recent rating	-	
3 <sup>rd</sup> most recent rating	-	
Date of 3 <sup>rd</sup> most recent rating	-	
<b>Appendices</b>		
ASCE 41 Tier 1 checklist included here?	Yes	Refer to attached checklist file

Appendix A  
Additional Images



1454 5th. AVE / 2056  
GROUND FLOOR PLAN / 2056\_00

**Figure 1 - Basement Floor Plan**



NOTE: THIS FLOOR PLAN REQUIRES FIELD VERIFICATION



1454 5th. AVE / 2056  
FIRST FLOOR PLAN / 2056\_01

Figure 2 - First Floor Plan





1454 5th. AVE / 2056  
SECOND FLOOR PLAN / 2056\_02

NOTE: FLOOR PLANS FOR THIS HOUSE NEED TO BE CORRECTED AND FIELD CHECKED - 4/2012

**Figure 3 - Second Floor Plan**



**Figure 4 - Building Separation to the North (Left) and South (Right)**



**Figure 5 – West (Front) Basement Wall**



**Figure 6 – South Basement Wall**



**Figure 7 – Masonry Wall Under Living Room Fireplace Above**



**Figure 8 – East (Rear) Basement Wall**



**Figure 9 – Basement Stairs**



**Figure 10 – Top of Basement Post**



**Figure 11 – Anchored Water Heater**



**Figure 12 – Furnace in Basement**



**Figure 13 – Buckling in Basement Slab on Grade**



**Figure 14 – Basement Stairway**



**Figure 15 – Blocked Off Fireplace**





**Figure 16 – First Floor Stairway, Hallway, and Entrance to Basement Stairs**

Appendix B

ASCE 41- 17 Tier 1 Checklists (Structural)

UC Campus:	San Francisco			Date:	1/4/2020		
Building CAAN:	2056	Auxiliary CAAN:		By Firm:	Estructure		
Building Name:	1454 5 <sup>th</sup> Avenue			Initials:	AJS	Checked:	MTP
Building Address:	1454 5 <sup>th</sup> Avenue, San Francisco, CA 94122			Page:	1	of	3

## ASCE 41-17 Collapse Prevention Basic Configuration Checklist

### LOW SEISMICITY

#### BUILDING SYSTEMS - GENERAL

	Description
<b>C NC N/A U</b> <input type="radio"/> <input checked="" type="radio"/> <input type="radio"/> <input type="radio"/>	<p><b>LOAD PATH:</b> The structure contains a complete, well-defined load path, including structural elements and connections, that serves to transfer the inertial forces associated with the mass of all elements of the building to the foundation. (Commentary: Sec. A.2.1.1. Tier 2: Sec. 5.4.1.1)</p> <p><b>Comments:</b> Based on the age of construction, it is presumed detailing does not provide transfer of forces between walls and between levels of the building.</p>
<b>C NC N/A U</b> <input type="radio"/> <input checked="" type="radio"/> <input type="radio"/> <input type="radio"/>	<p><b>ADJACENT BUILDINGS:</b> The clear distance between the building being evaluated and any adjacent building is greater than 0.25% of the height of the shorter building in low seismicity, 0.5% in moderate seismicity, and 1.5% in high seismicity. (Commentary: Sec. A.2.1.2. Tier 2: Sec. 5.4.1.2)</p> <p><b>Comments:</b> The building to the north and south are built nearly to the property line, with minimal separation from the subject building</p>
<b>C NC N/A U</b> <input type="radio"/> <input type="radio"/> <input checked="" type="radio"/> <input type="radio"/>	<p><b>MEZZANINES:</b> Interior mezzanine levels are braced independently from the main structure or are anchored to the seismic-force-resisting elements of the main structure. (Commentary: Sec. A.2.1.3. Tier 2: Sec. 5.4.1.3)</p> <p><b>Comments:</b></p>

#### BUILDING SYSTEMS - BUILDING CONFIGURATION

	Description
<b>C NC N/A U</b> <input type="radio"/> <input checked="" type="radio"/> <input type="radio"/> <input type="radio"/>	<p><b>WEAK STORY:</b> The sum of the shear strengths of the seismic-force-resisting system in any story in each direction is not less than 80% of the strength in the adjacent story above. (Commentary: Sec. A.2.2.2. Tier 2: Sec. 5.4.2.1)</p> <p><b>Comments:</b> In the transverse direction (north-south), the sum of the shear strengths in the first floor is 51% the story above.</p>
<b>C NC N/A U</b> <input type="radio"/> <input checked="" type="radio"/> <input type="radio"/> <input type="radio"/>	<p><b>SOFT STORY:</b> The stiffness of the seismic-force-resisting system in any story is not less than 70% of the seismic-force-resisting system stiffness in an adjacent story above or less than 80% of the average seismic-force-resisting system stiffness of the three stories above. (Commentary: Sec. A.2.2.3. Tier 2: Sec. 5.4.2.2)</p> <p><b>Comments:</b> In the transverse direction (north-south), the sum of the shear strengths in the first floor is 51% the story above.</p>

**Note:** C = Compliant NC = Noncompliant N/A = Not Applicable U = Unknown

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## ASCE 41-17 Collapse Prevention Basic Configuration Checklist

<b>C</b> <input type="radio"/> <b>NC</b> <input checked="" type="radio"/> <b>N/A</b> <input type="radio"/> <b>U</b> <input type="radio"/>	<b>VERTICAL IRREGULARITIES:</b> All vertical elements in the seismic-force-resisting system are continuous to the foundation. (Commentary: Sec. A.2.2.4. Tier 2: Sec. 5.4.2.3)  <b>Comments:</b>  Some walls are discontinuous between the ground and first story.
<b>C</b> <input checked="" type="radio"/> <b>NC</b> <input type="radio"/> <b>N/A</b> <input type="radio"/> <b>U</b> <input type="radio"/>	<b>GEOMETRY:</b> There are no changes in the net horizontal dimension of the seismic-force-resisting system of more than 30% in a story relative to adjacent stories, excluding one-story penthouses and mezzanines. (Commentary: Sec. A.2.2.5. Tier 2: Sec. 5.4.2.4)  <b>Comments:</b>
<b>C</b> <input checked="" type="radio"/> <b>NC</b> <input type="radio"/> <b>N/A</b> <input type="radio"/> <b>U</b> <input type="radio"/>	<b>MASS:</b> There is no change in effective mass of more than 50% from one story to the next. Light roofs, penthouses, and mezzanines need not be considered. (Commentary: Sec. A.2.2.6. Tier 2: Sec. 5.4.2.5)  <b>Comments:</b>
<b>C</b> <input checked="" type="radio"/> <b>NC</b> <input type="radio"/> <b>N/A</b> <input type="radio"/> <b>U</b> <input type="radio"/>	<b>TORSION:</b> The estimated distance between the story center of mass and the story center of rigidity is less than 20% of the building width in either plan dimension. (Commentary: Sec. A.2.2.7. Tier 2: Sec. 5.4.2.6)  <b>Comments:</b>

### MODERATE SEISMICITY (COMPLETE THE FOLLOWING ITEMS IN ADDITION TO THE ITEMS FOR LOW SEISMICITY)

#### GEOLOGIC SITE HAZARD

	Description
<b>C</b> <input checked="" type="radio"/> <b>NC</b> <input type="radio"/> <b>N/A</b> <input type="radio"/> <b>U</b> <input type="radio"/>	<b>LIQUEFACTION:</b> Liquefaction-susceptible, saturated, loose granular soils that could jeopardize the building's seismic performance do not exist in the foundation soils at depths within 50 ft (15.2m) under the building. (Commentary: Sec. A.6.1.1. Tier 2: 5.4.3.1)  <b>Comments:</b>
<b>C</b> <input checked="" type="radio"/> <b>NC</b> <input type="radio"/> <b>N/A</b> <input type="radio"/> <b>U</b> <input type="radio"/>	<b>SLOPE FAILURE:</b> The building site is located away from potential earthquake-induced slope failures or rockfalls so that it is unaffected by such failures or is capable of accommodating any predicted movements without failure. (Commentary: Sec. A.6.1.2. Tier 2: 5.4.3.1)  <b>Comments:</b>

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**ASCE 41-17  
Collapse Prevention Basic Configuration Checklist**

**MODERATE SEISMICITY (COMPLETE THE FOLLOWING ITEMS IN ADDITION TO THE ITEMS FOR LOW SEISMICITY)**

**GEOLOGIC SITE HAZARD**

<b>C</b>	<b>NC</b>	<b>N/A</b>	<b>U</b>	SURFACE FAULT RUPTURE: Surface fault rupture and surface displacement at the building site are not anticipated. (Commentary: Sec. A.6.1.3. Tier 2: 5.4.3.1)
<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<b>Comments:</b>

**HIGH SEISMICITY (COMPLETE THE FOLLOWING ITEMS IN ADDITION TO THE ITEMS FOR MODERATE SEISMICITY)**

**FOUNDATION CONFIGURATION**

				Description
<b>C</b>	<b>NC</b>	<b>N/A</b>	<b>U</b>	OVERTURNING: The ratio of the least horizontal dimension of the seismic-force-resisting system at the foundation level to the building height (base/height) is greater than 0.6S <sub>a</sub> . (Commentary: Sec. A.6.2.1. Tier 2: Sec. 5.4.3.3)
<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<b>Comments:</b>  0.6 S <sub>a</sub> = 0.6 * 1.877 = 1.26 Base = 25 ft; height = 26 ft Base/Height = 0.962 < 1.126
<b>C</b>	<b>NC</b>	<b>N/A</b>	<b>U</b>	TIES BETWEEN FOUNDATION ELEMENTS: The foundation has ties adequate to resist seismic forces where footings, piles, and piers are not restrained by beams, slabs, or soils classified as Site Class A, B, or C. (Commentary: Sec. A.6.2.2. Tier 2: Sec. 5.4.3.4)
<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<b>Comments:</b> Site class C.

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## ASCE 41-17 Collapse Prevention Structural Checklist For Building Type W1-W1A

<b>LOW AND MODERATE SEISMICITY</b>														
<b>SEISMIC-FORCE-RESISTING SYSTEM</b>														
		<b>Description</b>												
<b>C</b>	<b>NC</b>	<b>N/A</b>	<b>U</b>	<p>REDUNDANCY: The number of lines of shear walls in each principal direction is greater than or equal to 2. (Commentary: Sec. A.3.2.1.1. Tier 2: Sec. 5.5.1.1)</p> <p><b>Comments:</b></p>										
<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<p>SHEAR STRESS CHECK: The shear stress in the shear walls, calculated using the Quick Check procedure of Section 4.4.3.3, is less than the following values: (Commentary: Sec. A.3.2.7.1. Tier 2: Sec. 5.5.3.1.1)</p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <tr> <td style="padding: 2px;">Structural panel sheathing</td> <td style="padding: 2px;">1,000 lb/ft (14.6 kN/m)</td> </tr> <tr> <td style="padding: 2px;">Diagonal sheathing</td> <td style="padding: 2px;">700 lb/ft (10.2 kN/m)</td> </tr> <tr> <td style="padding: 2px;">Straight sheathing</td> <td style="padding: 2px;">100 lb/ft (1.5 kN/m)</td> </tr> <tr> <td style="padding: 2px;">All other conditions</td> <td style="padding: 2px;">100 lb/ft (1.5 kN/m)</td> </tr> </table> <p><b>Comments:</b></p> <p>No walls pass the quick check stress check. At the ground floor the wall stresses in the quick check are 429 plf in the east-west direction and 1,136 plf in the north-south direction compared with the allowable 181 plf and 176 plf, respectively. Note the ground floor capacity is based on the weighted average of walls per the attached calculations. Where sheathing occurs on both sides, capacities are doubled.</p>			Structural panel sheathing	1,000 lb/ft (14.6 kN/m)	Diagonal sheathing	700 lb/ft (10.2 kN/m)	Straight sheathing	100 lb/ft (1.5 kN/m)	All other conditions	100 lb/ft (1.5 kN/m)
Structural panel sheathing	1,000 lb/ft (14.6 kN/m)													
Diagonal sheathing	700 lb/ft (10.2 kN/m)													
Straight sheathing	100 lb/ft (1.5 kN/m)													
All other conditions	100 lb/ft (1.5 kN/m)													
<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<p>STUCCO (EXTERIOR PLASTER) SHEAR WALLS: Multi-story buildings do not rely on exterior stucco walls as the primary seismic-force-resisting system. (Commentary: Sec. A.3.2.7.2. Tier 2: Sec. 5.5.3.6.1)</p> <p><b>Comments:</b></p>										
<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<p>GYPSUM WALLBOARD OR PLASTER SHEAR WALLS: Interior plaster or gypsum wallboard is not used for shear walls on buildings more than one story high with the exception of the uppermost level of a multi-story building. (Commentary: Sec. A.3.2.7.3. Tier 2: Sec. 5.5.3.6.1)</p> <p><b>Comments:</b></p> <p>Interior walls provide much of the shear resistance, particularly in the transverse (north-south) direction.</p>										
<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<p>NARROW WOOD SHEAR WALLS: Narrow wood shear walls with an aspect ratio greater than 2-to-1 are not used to resist seismic forces. (Commentary: Sec. A.3.2.7.4. Tier 2: Sec. 5.5.3.6.1)</p> <p><b>Comments:</b></p> <p>Some of the walls considered for the quick check have an aspect ratio greater than 2 to 1.</p>										
<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<p>WALLS CONNECTED THROUGH FLOORS: Shear walls have an interconnection between stories to transfer overturning and shear forces through the floor. (Commentary: Sec. A.3.2.7.5. Tier 2: Sec. 5.5.3.6.2)</p> <p><b>Comments:</b></p> <p>Existing drawings showing wall details are not provided but it is presumed there are no ties between floors to transfer load between floors.</p>										

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## ASCE 41-17 Collapse Prevention Structural Checklist For Building Type W1-W1A

<b>C</b>	<b>NC</b>	<b>N/A</b>	<b>U</b>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<p>HILLSIDE SITE: For structures that are taller on at least one side by more than one-half story because of a sloping site, all shear walls on the downhill slope have an aspect ratio less than 1-to-1. (Commentary: Sec. A.3.2.7.6. Tier 2: Sec. 5.5.3.6.3)</p> <p><b>Comments:</b> The west, front wall aspect ratio is larger than 1-to-1.</p>
<b>C</b>	<b>NC</b>	<b>N/A</b>	<b>U</b>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<p>CRIPPLE WALLS: Cripple walls below first-floor-level shear walls are braced to the foundation with wood structural panels. (Commentary: Sec. A.3.2.7.7. Tier 2: Sec. 5.5.3.6.4)</p> <p><b>Comments:</b> Plywood sheathing was observed only in a small area in the basement, with minimal nailing. It is presumed, based on the age of construction and available existing drawings, that the cripple walls are not sheathed with wood structural panels elsewhere.</p>
<b>C</b>	<b>NC</b>	<b>N/A</b>	<b>U</b>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<p>OPENINGS: Walls with openings greater than 80% of the length are braced with wood structural panel shear walls with aspect ratios of not more than 1.5-to-1 or are supported by adjacent construction through positive ties capable of transferring the seismic forces. (Commentary: Sec. A.3.2.7.8. Tier 2: Sec. 5.5.3.6.5)</p> <p><b>Comments:</b></p>
<b>CONNECTIONS</b>								
				<b>Description</b>				
<b>C</b>	<b>NC</b>	<b>N/A</b>	<b>U</b>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<p>WOOD POSTS: There is a positive connection of wood posts to the foundation. (Commentary: Sec. A.5.3.3. Tier 2: Sec. 5.7.3.3)</p> <p><b>Comments:</b> Wood posts did not have positive connections to the foundation.</p>
<b>C</b>	<b>NC</b>	<b>N/A</b>	<b>U</b>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<p>WOOD SILLS: All wood sills are bolted to the foundation. (Commentary: Sec. A.5.3.4. Tier 2: Sec. 5.7.3.3)</p> <p><b>Comments:</b> All wood sills in the basement space were concealed by finishes. However, based on the age of the building it is anticipated the wood sill bolting is not adequate.</p>
<b>C</b>	<b>NC</b>	<b>N/A</b>	<b>U</b>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<p>GIRDER-COLUMN CONNECTION: There is a positive connection using plates, connection hardware, or straps between the girder and the column support. (Commentary: Sec. A.5.4.1. Tier 2: Sec. 5.7.4.1)</p> <p><b>Comments:</b> Girders did not have positive connections to the posts.</p>

Note: **C** = Compliant **NC** = Noncompliant **N/A** = Not Applicable **U** = Unknown

UC Campus:	San Francisco			Date:	1/4/2020		
Building CAAN:	2056	Auxiliary CAAN:		By Firm:	Estructure		
Building Name:	1454 5 <sup>th</sup> Avenue			Initials:	AJS	Checked:	MTP
Building Address:	1454 5 <sup>th</sup> Avenue, San Francisco, CA 94122			Page:	3	of	4

## ASCE 41-17 Collapse Prevention Structural Checklist For Building Type W1-W1A

### HIGH SEISMICITY (COMPLETE THE FOLLOWING ITEMS IN ADDITION TO THE ITEMS FOR LOW AND MODERATE SEISMICITY)

#### CONNECTIONS

	Description
<b>C</b> <b>NC</b> <b>N/A</b> <b>U</b> <input type="radio"/> <input type="radio"/> <input type="radio"/> <input checked="" type="radio"/>	<p>WOOD SILL BOLTS: Sill bolts are spaced at 6 ft or less with acceptable edge and end distance provided for wood and concrete. (Commentary: Sec. A.5.3.7. Tier 2: Sec. 5.7.3.3)</p> <p><b>Comments:</b> All wood sills in the basement space were concealed by finishes. However, based on the age of the building it is anticipated the wood sill bolting is not adequate.</p>

#### DIAPHRAGMS

	Description
<b>C</b> <b>NC</b> <b>N/A</b> <b>U</b> <input checked="" type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/>	<p>DIAPHRAGM CONTINUITY: The diaphragms are not composed of split-level floors and do not have expansion joints. (Commentary: Sec. A.4.1.1. Tier 2: Sec. 5.6.1.1)</p> <p><b>Comments</b> No split levels or expansion joints.</p>
<b>C</b> <b>NC</b> <b>N/A</b> <b>U</b> <input type="radio"/> <input type="radio"/> <input type="radio"/> <input checked="" type="radio"/>	<p>ROOF CHORD CONTINUITY: All chord elements are continuous, regardless of changes in roof elevation. (Commentary: Sec. A.4.1.3. Tier 2: Sec. 5.6.1.1)</p> <p><b>Comments:</b> Chords are at one elevation. However, existing drawings showing splice details are not available.</p>
<b>C</b> <b>NC</b> <b>N/A</b> <b>U</b> <input checked="" type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/>	<p>STRAIGHT SHEATHING: All straight-sheathed diaphragms have aspect ratios less than 2-to-1 in the direction being considered. (Commentary: Sec. A.4.2.1. Tier 2: Sec. 5.6.2)</p> <p><b>Comments:</b> Maximum Aspect Ratio = 30 ft : 16 ft above the basement</p>
<b>C</b> <b>NC</b> <b>N/A</b> <b>U</b> <input type="radio"/> <input checked="" type="radio"/> <input type="radio"/> <input type="radio"/>	<p>SPANS: All wood diaphragms with spans greater than 24 ft (7.3 m) consist of wood structural panels or diagonal sheathing. (Commentary: Sec. A.4.2.2. Tier 2: Sec. 5.6.2)</p> <p><b>Comments:</b> Existing drawings showing sheathing are not available. It is presumed the diaphragm has straight sheathing based on the age of construction. The first floor diaphragm has a maximum span of 30 ft.</p>
<b>C</b> <b>NC</b> <b>N/A</b> <b>U</b> <input checked="" type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/>	<p>DIAGONALLY SHEATHED AND UNBLOCKED DIAPHRAGMS: All diagonally sheathed or unblocked wood structural panel diaphragms have horizontal spans less than 40 ft (12 m) and have aspect ratios less than or equal to 4-to-1. (Commentary: Sec. A.4.2.3. Tier 2: Sec. 5.6.2)</p> <p><b>Comments:</b> All diaphragms span less than 40 ft.</p>

Note: **C** = Compliant **NC** = Noncompliant **N/A** = Not Applicable **U** = Unknown



UC Campus:	San Francisco		Date:	1/4/2020		
Building CAAN:	2056	Auxiliary CAAN:	By Firm:	Estructure		
Building Name:	1454 5 <sup>th</sup> Avenue		Initials:	AJS	Checked:	MTP
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**ASCE 41-17**  
**Collapse Prevention Structural Checklist For Building Type W1-W1A**

<b>C</b>	<b>NC</b>	<b>N/A</b>	<b>U</b>	OTHER DIAPHRAGMS: The diaphragms do not consist of a system other than wood, metal deck, concrete, or horizontal bracing. (Commentary: Sec. A.4.7.1. Tier 2: Sec. 5.6.5)
<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	
				<b>Comments:</b>

## Appendix C

### UCOP Seismic Safety policy Falling Hazards Assessment Summary

UC Campus:	San Francisco		Date:	1/4/2020		
Building CAAN:	2056	Auxiliary CAAN:	By Firm:	Estructure		
Building Name:	1454 5 <sup>th</sup> Avenue		Initials:	AJS	Checked:	MTP
Building Address:	1454 5 <sup>th</sup> Avenue, San Francisco, CA 94122		Page:	1	of	1

## UCOP SEISMIC SAFETY POLICY Falling Hazard Assessment Summary

		Description
<b>P</b> <input type="checkbox"/>	<b>N/A</b> <input checked="" type="checkbox"/>	<b>Heavy ceilings, features or ornamentation above large lecture halls, auditoriums, lobbies, or other areas where large numbers of people congregate (50 ppl or more)</b>  <b>Comments:</b>
<b>P</b> <input type="checkbox"/>	<b>N/A</b> <input checked="" type="checkbox"/>	<b>Heavy masonry or stone veneer above exit ways or public access areas</b>  <b>Comments:</b>
<b>P</b> <input type="checkbox"/>	<b>N/A</b> <input checked="" type="checkbox"/>	<b>Unbraced masonry parapets, cornices, or other ornamentation above exit ways or public access areas</b>  <b>Comments:</b>
<b>P</b> <input type="checkbox"/>	<b>N/A</b> <input checked="" type="checkbox"/>	<b>Unrestrained hazardous material storage</b>  <b>Comments:</b>
<b>P</b> <input type="checkbox"/>	<b>N/A</b> <input checked="" type="checkbox"/>	<b>Masonry chimneys</b>  <b>Comments:</b> It appeared the chimney had been replaced with a sheet metal flue. Two blocked off fireplaces were observed at the first level.
<b>P</b> <input type="checkbox"/>	<b>N/A</b> <input checked="" type="checkbox"/>	<b>Unrestrained natural gas-fueled equipment such as water heaters, boilers, emergency generators, etc.</b>  <b>Comments:</b> The basement water heater was anchored to the wall.
<b>P</b> <input type="checkbox"/>	<b>N/A</b> <input type="checkbox"/>	<b>Other:</b>  <b>Comments:</b>
<b>P</b> <input type="checkbox"/>	<b>N/A</b> <input type="checkbox"/>	<b>Other:</b>  <b>Comments:</b>
<b>P</b> <input type="checkbox"/>	<b>N/A</b> <input type="checkbox"/>	<b>Other:</b>  <b>Comments:</b>

Falling Hazards Risk: *Low*

## Appendix D

### Quick Check Calculations

Dead loads & Seismic Weight Calculation			
Roof Assembly			
Roofing	3 psf	Estimate, Assume Asphalt Shingles	
Sheathing	3 psf	Estimate, Assumed 1x Sheathing	
Roof Joists	6 psf	Estimate, Assumed 2x10 @16	
Ceiling	9 psf		
MEP	0.5 psf		
Misc	0.5 psf		
Walls	5 psf		
<b>Total</b>	$\Sigma$	<b>27 psf</b>	Flat Roof

Floor Assembly			
Flooring	2 psf	Estimate, Assume Carpet	
Sheathing	3 psf	Estimate, Assumed 1x Sheathing	
Wood Framing	6 psf	Estimate, Assumed 2x10 @16	
Ceilings	2.25 psf	Estimate, 5/8" Gyp Board	
MEP	0.5 psf		
Misc	0.5 psf		
Partitions	10 psf		
<b>Total</b>	$\Sigma$	<b>24 psf</b>	

Deck Assembly			
Decking	5 psf	2x	
Framing	6 psf	Estimate, Assumed 2x10 @16	
Guardrails and Misc	2 psf		
<b>Total</b>	$\Sigma$	<b>13 psf</b>	

Exterior Wall Assembly - Wood Siding			
Finish	2 psf	Estimate, Wood Siding	
Sheathing	3 psf	Estimate, Assumed 1x Sheathing	
Wood Framing	1.5 psf	Estimate, Assumed 2x6 @16	
Insulation	0.5 psf		
Interior Finish	2.25 psf	Estimate, 5/8" Gyp Board	
MEP	0.5 psf		
Misc	0.5 psf		
<b>Total</b>	$\Sigma$	<b>10 psf</b>	

Exterior Wall Finish - Concrete Veneer			
Finish	25 psf	Estimate, Concrete Veneer	
	-2 psf	Less wood siding	
<b>Total</b>	$\Sigma$	<b>23 psf</b>	Add to typical ext. wall assembly, where occurs

Level 3 (Roof)			
Roof Assembly	p	27 psf	
	A	940 ft <sup>2</sup>	
	Wt	<b>25.38 kips</b>	
Exterior Wall - Wood	p	10 psf	
	h <sub>trib</sub>	5 ft	Half approximate floor height
	L	132 ft	
	Wt	<b>6.77 kips</b>	
<b>Seismic Weight</b>	$\Sigma W_{typ}$	<b>32 kips</b>	

Level 2			
Floor Assembly	p	24 psf	
	A	850 ft <sup>2</sup>	
	Wt	<b>20.61 kips</b>	
Deck Assembly	p	13 psf	
	A	140 ft <sup>2</sup>	
	Wt	<b>1.76 kips</b>	
Exterior Wall - Wood	p	10 psf	
	h <sub>trib</sub>	10 ft	Approximate floor height
	L	128 ft	
	Wt	<b>13.07 kips</b>	
<b>Seismic Weight</b>	$\Sigma W_{typ}$	<b>34 kips</b>	

Level 1			
Floor Assembly	p	24 psf	
	A	845 ft <sup>2</sup>	
	Wt	<b>20.49 kips</b>	
Exterior Wall - Wood	p	10 psf	
	h <sub>trib</sub>	10 ft	Approximate floor height
	L	123 ft	
	Wt	<b>12.61 kips</b>	
Exterior Wall - Conc.	p	23 psf	
	h <sub>trib</sub>	5 ft	Half approximate floor height
	L	16 ft	Along front wall only
	Wt	<b>1.84 kips</b>	
<b>Seismic Weight</b>	$\Sigma W_{typ}$	<b>35 kips</b>	

Earthquake		Site Parameters - UCSF Group 3 Buildings – Tier 1 Geotechnical Assessment, Egan (2019)		
BSE-C	$S_s = 1.564$	$F_a = 1.2$	$S_{CS} = 1.877$	
	$S_1 = 0.618$	$F_v = 1.4$	$S_{C1} = 0.865$	

Building Period			
Empirical factor	$C_t$	0.02	ASCE 41-17 Sec. 4.4.2.4
Roof level height	$h$	26 ft	ASCE 7-18, 11.2
Empirical factor	$\beta$	0.75	ASCE 41-17 Sec. 4.4.2.4
Fundamental period, $T = C_t h_n^\beta =$		0.230 sec	ASCE 41-17 Sec. 4.4.2.4 eqn. 4-4

Calculate Base Shear			
Spectral Acceleration	$S_a = S_{X1} / T = 3.76$		ASCE 41-17, 4.4.2.3
	$S_{a,max} = S_{XS} = 1.8768$	<i>governs</i>	ASCE 41-17, 4.4.2.3
Modification Factor	$C = 1.00$		ASCE 41-17, Table 4-7
Pseudo Seismic Force	$V = S_a \times C \times W =$	$1.88 \times W$	ASCE 41-17, Eqn. 4-1
	<b>V =</b>	<b>189 kips</b>	

Seismic Force Vertical Distribution						
Level	Weight (kips)	Height (ft)	$w_x h_x$ (kip_ft)	$C_{vx} = w_x h_x / \sum w_x h_x$	$F_x = C_{vx} V$	Story Shear, V
3rd	32	26.00	836	0.53	99	99
2nd	34	15.83	533	0.34	63	163
1st	35	6.33	221	0.14	26	189
$\Sigma$	101	$\Sigma$	1590	1.00	189	

Longitudinal Direction (East-West)							
Story	Story Shear (kips)	Length of Wall (ft)	M <sub>s</sub> Factor (ASCE 41-17, Table 4-8)	Average Story Shear Stress (plf)	Quick Check Shear Capacity <sup>(1)</sup> (plf)	Pass? (Y/N)	Lvl N Strength / Lvl N+1 Strength
2	99	99	4.5	223	200	N	--
1	163	86	4.5	421	200	N	87%
Ground	189	98	4.5	429	181 <sup>(2)</sup>	N	103%

Transverse Direction (North-South)							
Story	Story Shear (kips)	Length of Wall (ft)	M <sub>s</sub> Factor (ASCE 41-17, Table 4-8)	Average Story Shear Stress (plf)	Quick Check Shear Capacity <sup>(1)</sup> (plf)	Pass? (Y/N)	Lvl N Strength / Lvl N+1 Strength
2	99	77	4.5	287	200	N	--
1	163	39	4.5	928	200	N	51%
Ground	189	37	4.5	1,136	176 <sup>(2)</sup>	N	84%

1. Shear capacity is doubled where walls are covered on both sides.

2. Weighted Ground Floor Capacity

Assembly	Capacity (plf)	Length (ft)	Capacity (lbs)
1-sided	100	19.1	1,910
2-sided	200	78.9	15,780
	Σ	98	17,690
	ΣCapacity / ΣLength =		181 plf